A Randomized Control Trial of Continuous Positive Airway Pressure to Reduce Systolic Blood Pressure in Patients with Obstructive Sleep Apnea and Systemic Hypertension.

Candice Kwan

A. Study Purpose and Rationale

Obstructive sleep apnea (OSA) is the most common sleep associated breathing disorder, affecting 24% and 9% of middle-aged men and women respectively (1), and more than 10 percent of the population over the age of 65 (2). Moreover, in the urban adult population, the 5-year incidence of sleep disordered breathing (SDB) is about 7.5% for moderately severe SDA and 16% for mild to moderately severe SDB (3). During sleep, the upper airway musculature relaxes and leads to a collapse of the "floppy" upper airway upon inspiration. Airway patency is compromised despite vigorous diaphragmatic respiratory efforts. Continuous positive airway pressure (CPAP) during sleep is the treatment of choice in patients with OSA. A pneumatic splint is created by air pressure applied to the upper airway through a nasal or nasal-oral mask. In randomized, placebo-controlled trials, continuous positive airway pressure has been demonstrated to decrease somnolence, and to improve mood, alertness, and quality of life (2, 4, 5).

OSA is thought to be an independent risk factor for daytime systemic hypertension. In a large population-based prospective observational study, the Wisconsin Sleep Cohort Study demonstrated an independent dose-related association between sleep-disordered breathing at baseline and the presence of hypertension four years later. This association was present even after adjustment for other known risk factors for hypertension such as habitus, age, sex, and cigarette and alcohol use (6). The pathophysiologic mechanism for the development of hypertension in obstructive sleep apnea is thought to be multifactorial (1). Intermittent nocturnal hypoxia and hypercapnia leads sympathetic activation and increased nocturnal blood pressure. This activation is hypothesized to increased daytime sympathetic activity and elevated daytime systemic blood pressure. In addition, chemoreceptor resetting, tonic chemoreceptor activation, endothelial dysfunction, increased endothelin, and lower nitric oxide levels have all been hypothesized to lead to diurnal systemic hypertension. Effective CPAP treatment will lower nocturnal hypertension. However, no large prospective cohort studies or randomized control trials have shown that treatment of OSA with CPAP effectively reduces daytime hypertension. Specifically, there have been a handful of small poorly controlled studies with conflicting results on the effect of CPAP treatment on daytime systemic hypertension (5, 7, 8, 9). More recently published data indicates that CPAP may lower daytime blood pressure, but these studies are compromised by poor controls, uneven baseline characteristics between the two groups, and high dropout rates (5,7).

B. Study Design and Statistical Analysis

The study is a 12-week randomized double-blind control trial based at the Sleep Disorders Laboratory at Columbia Presbyterian Medical Center. The goal is to determine the effects of CPAP on daytime blood pressure in patients with OSA and systemic hypertension. Adult patients who qualify for the study and meet the inclusion criteria of hypertension (documented SBP >140 or DBP >90 at 2 clinic visits, or on antihypertensive medication) and OSA (Apnea-hypopnea Index >/= 5 and excessive daytime somnolence, >/= 10 points on Epworth sleepiness 24-point scale) are asked to participate in the study. Participants and their primary care physicians are asked to keep their antihypertensive medications at enrollment unchanged during the three-month course of the study unless SBP>180 or <100. After informed consent has been obtained, participants are randomized to either effective CPAP or non-therapeutic CPAP over a 12-week period. Upon study enrollment, baseline characteristics (age, sex,

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weight, BMI, neck/waist circumference) and blood pressure will be obtained, and the participant will be asked to fill out a questionnaire regarding current medications, alcohol use, tobacco use, and exercise frequency. CPAP will be titrated to a therapeutic or subtherapeutic level by a qualified sleep technician during an overnight sleep study. Blood pressure will be measured at 2-week intervals by a blinded study investigator at the sleep disorders laboratory. In addition, counseling regarding diet and lifestyle modifications for HTN and OSA will be given at 2-week intervals. At the end of the study, the participant will be asked to complete the questionnaire again, and will have their weight and BMI recorded.

a. Enrollment Site

Columbia Presbyterian Sleep Disorders Laboratory

b. Intervention

- Effective CPAP vs. non-therapeutic CPAP
- Counseling provided to both groups at biweekly sessions re: lifestyle modification for HTN: salt restriction, diet, weight reduction, need to limit alcohol consumption, smoking cessation, and re: conservative treatment for OSA: use of lateral sleeping position, avoidance of alcohol or sedative medications, weight loss, and caution with driving motor vehicles and heavy machinery.

c. Method of Randomization

Randomization will occur in blocks of four participants.

d. Primary Endpoint

- Change in SBP with treatment from baseline SBP
- Baseline SBP is the average SBP measured on two separate days upon study entry
- SBP on treatment is the average of the last 2 clinic SBP readings at the end of the study
- Each recorded SBP is the average of at least 2 seated BP measured at 5 minute intervals, read with mercury sphygmomanometer, while the patient is at rest for at least 30 minutes.
- Note: 7th JNC 2003 recommends treatment of HTN based on achieving a SBP goal

e. Secondary Endpoints

- mean systolic blood pressure
- mean diastolic blood pressure
- change, if any, in number of antihypertensives being used
- AHI, HI, and AI
- side effects of masked ventilation
- excessive daytime sleepiness (measured on 24 point Epworth sleepiness scale)
- CPAP compliance (defined as at least 3.5 hours of use per night, at least 4 nights/week)

f. Follow up Period

- Week 2, Week 4, Week 6, Week 8, Week 10, Week 12

g. Statistical Analyses

- Effect size of interest: 5mmHg drop in SBP in the CPAP group
- Power Calculation using the unpaired T test shows that 42 patients in each group will be needed to demonstrate a difference of 5 in the two groups. (Power 0.80, a 0.05, SD 10)

h. Data Analysis

- Data will be analyzed on an intention-to-treat basis
- Unpaired T test will be used to measure the primary and secondary endpoints

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- The two groups will also be compared for the following variables
 - BMI
 - neck/waist circumference
 - age
 - sex
 - use of ETOH
 - use of TOB
 - DM
 - number of hours of exercise per week
 - Stage of hypertension (Stage 1: SBP140-159 or DBP 90-99; Stage 2: SBP 160-179 or DBP 100-109, Stage 3: SBP>180 or DBP>110)
 - Severity of OSA (mild: AHI5-9; mild-moderate AHI: 10-14, moderate 15 or greater)

C. Study Procedure

a. Measurement of blood pressure

After the participant had been seated for at least 30 minutes, two or three readings of systolic and diastolic blood pressure is obtained at five-minute intervals using a conventional mercury sphygmomanometer, in accordance with the recommendations of the 7th Report of the Joint National Committee on Prevention, Detection, Evaluation, and Treatment of High Blood Pressure. Participants are asked to refrain from tobacco or caffeine use within 30 minutes prior to blood pressure measurement. The recorded blood pressure will be the average of the two or three seated BP readings.

b. Measurement of habitus

Habitus is assessed with the use of standard procedures including measurements of height (in meters), weight (in kilograms), and neck and waist circumference (in centimeters)

c. Measurement of Apnea-Hypopnea Index

18-channel polysomnography is performed as part of an overnight sleep study by skilled technician in the sleep laboratory. Sleep is recorded and the stage of sleep is determined by electroencephalography, electrooculography and electromyography. Thoracic and abdominal respiratory movements are measured by inductance plthysmography and arterial oxygen saturation is measured using pulse oximetry. In addition, breathing and limb movements and electrocardiographic lead are monitored. Cessation of airflow for at least 10 seconds was defined as an episode of apnea. A discernible reduction in the sum amplitude of the rib-cage plus the abdominal excursions on respiratory inductance plethysmography that lasted at least 10 seconds and that was associated with a reduction in the oxyhemoglobin saturation of at least 4 percent was defined as an episode of hypopnea. The apnea-hypopnea index is defined as the average number of episodes of apnea and hypopnea per hour of objectively measured sleep. Obstructive sleep apnea was diagnosed if the participant has Apnea-Hypopnea index of 5 or greater.

d. CPAP Titration

Polysomnography will be performed to calculate the correct level of CPAP required to restore upper-airway patency. This will be done by trained sleep technicians as recommended by American Thoracic Society.

- Active reinforcement (by sleep technicians) on the night of CPAP titration of the benefits of treatment, use of patient education videotapes on the night of the CPAP titration to promote compliance with CPAP machine.

- Participants will be provided written information on OSA and CPAP to encourage compliance with intervention.

e. Measurement of CPAP compliance

- Hour-meter record: data from hour-meter on the assist device is recorded every 2 weeks, and the average hours of device use is calculated (meter hours/number of days)
- Computer Analysis: Actual mask-on compliance data is downloaded from the CPAP machine at biweekly intervals onto a personal computer, and the mean effective usage over the treatment period is calculated.
- some patients find CPAP obtrusive and become frustrated by frequent mask leaks and nasal congestion. Long term use is more likely in patients with history of snoring, a high apnea-hypopnea index, and severe daytime sleepiness. Intensive support of patients when CPAP is initiated is important to maximize the likelihood of long-term use. (Flemons)

f. Schedule of Repeated Measurements and Procedures, Duration of Study

Participants will get BP measured every 2 weeks. They are asked not to change their antihypertensives during the study period of 12 weeks, unless SBP is greater than 160 or less than 100. Standard clinical care for hypertension would require a BP check at the physician's offic every 3 months.

D. Study Drugs

N/A

E. Study Medical Device

CPAP systems will be commercially available ResMed S7 Elite CPAP devices. The ResMed S7 Elite CPAP device is FDA approved for use in CPAP titration studies and as a home CPAP device for the treatment of obstructive sleep apnea in adult patients. The CPAP system is used every night through the night while the participant is asleep. The ResMed S7 Elite CPAP device records and stores data on 365 days of usage, pressure, leak, AHI, HI, and AI. [please see enclosed brochure on ResMed S7 Elite CPAP]

According to the American Thoracic Society Consensus Statement, CPAP is effective in eliminating obstructive sleep apnea, oxyhemoglobin desaturation, and respiratory event-related arousal from sleep. CPAP is also associated with improved morbidity as manifested primarily by reductions in daytime sleepiness and improved cardiopulmonary function. Although the long-term effects of nasal CPAP have not been fully determined, available data suggest a possible reduction in mortality. Common side effects of CPAP use include local skin irritation, drying of the nasal and pharyngeal membranes (~50 percent), nasal congestion/rhinorrhea (~25 percent), and eye irritation (~25 percent). Rare case reports of major complications with CPAP device have been reported and include pneumocephalus, bacterial meningitis, conjunctivitis, massive epistaxis, and atrial arrhythmia. There are no reports of pneumothorax. CPAP is a safe form of therapy with relatively few recorded major complications. Nevertheless, minor discomfort and complaints regarding the mask interface remain relatively common. Severe facial skin irritation due to nasal masks may be avoided by using ADAM nasal pillows.

F. Study Questionnaires

A questionnaire will be administered to study participants at the beginning of the study and at the end of the 3 month study period. The questionnaire will assess the following:

- 1 The use of antihypertensive medications (current use of alpha-adrenergic antagonists, beta-blockers, calcium-channel blockers, diuretics, angiotensin-converting-enzyme inhibitors, angiotensin receptor blockers)
- 2 Dietary habits
- 3 Average amount of exercise per week
- 4 Use of alcohol (number of drinks/week; duration of ETOH use)
- 5 Use of tobacco (number of cigarettes per day, duration of tobacco use)
- 6 Excessive daytime somnolence (Epworth sleepiness scale)
- 7 Side effects of CPAP (ongoing mask discomfort, nasal congestion, dry nose, dry or red eyes, machine noise, ear pain, facial acne, difficulty exhaling)

G. Study Subjects

a. Inclusion Criteria

- Age>18years and <65 years
- Diagnosis of hypertension.
- Systemic hypertension is defined by a seated SBP>140mmHg or DBP>90 mmHg measured by mercury sphygmomanometer on each of at least two office visits, or self-reported current use of an antihypertensive medication
- Diagnosis of obstructive sleep apnea.
- OSA is defined by an apnea-hypopnea index (total number of episodes of apnea and hypopnea per hour of sleep) of 5 or higher (measured by an overnight sleep study) in association with self-reported excessive daytime somnolence.

b. Exclusion Criteria

- -pregnancy
- -unstable or decompensated cardiopulmonary disease
- -airway carcinoma
- -recent surgery of upper respiratory tract
- -inadequate period of sleep as defined by less than 4 hours per night
- -history of CVA or dementia
- -history of MI within the past 6 months, or current angina
- -history of life-threatening arrhythmias (2nd degree AV Block, complete heart block, V fib, V tach)
- -currently receiving other treatment for sleep disordered breathing (e.g. mandibular and tongue advancement devices)

H. Recruitment of Subjects

Study participants will be recruited through the Sleep Disorders Laboratory at Columbia Presbyterian Medical Center. The patient's primary physician will be contacted by the study investigators in order to ascertain that the patient is suitable for the study, and to discuss the study with the research team prior to approaching the patient for informed consent. In addition, the patient's diagnosis of hypertension and current antihypertensive medications will be confirmed with the primary physician.

I. Confidentiality of Study Data

All study data will be coded. Once enrolled, patients will be identified with a number. Only the principal investigator will have information regarding which number represents which patient. Data will be stored in a secure location, accessible only to the investigators.

J. Potential Conflict of Interest

None of the investigators associated with this study has a proprietary interest in any device that might be used in this study. None of the investigators stands to benefit financially from the results of the study.

K. Location of Study

The Sleep Disorders Laboratory at Columbia Presbyterian Medical Center.

L. Potential Risks

Participants may get randomized into receiving subtherapeutic CPAP that is not as effective as therapeutic CPAP. Common side effects of CPAP use include local skin irritation, drying of the nasal and pharyngeal membranes (~50 percent), nasal congestion/rhinorrhea (~25 percent), and eye irritation (~25 percent). Rare case reports of major complications with CPAP device have been reported and include pneumocephalus, bacterial meningitis, conjunctivitis, massive epistaxis, and atrial arrhythmia.

M. Potential Benefits

Participants may experience decreased somnolence, and improved mood, alertness, and quality of life. Participants will receive intensive counseling for diet and lifestyle modification over the study period.

N. Alternative Therapies

N/A

O. Compensation to Subjects

Participants will be pain \$25 dollars as compensation for time and travels costs for participating in this study.

P. Costs to Subjects

N/A

Q. Minors as Research Subjects

N/A

R. Radiation or Radioactive Substances

N/A

S. References

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Additional Notes

- 1. Lifestyle Modifications and approximate SBP reduction.
 - Weight reduction (to maintain normal body weight; BMI 18.5-24.9): 5-20mmHg/10kg weight loss
 - Adopt DASH eating plan: 8-14mmHg
 - Dietary sodium reduction: 2-8mmHg
 - Physical Activity: 4-9mmHg
 - Moderation of alcohol consumption: 2-4mmHg
- 2. Focus on Systolic Blood Pressure
 - According to the 7th Report of JNC, in persons older than 50 years, systolic blood pressure greater than 140mmHg is a more potent cardiovascular disease risk factor than diastolic blood pressure. Since the achievement of goal SBP is associated with the achievement of goal diastolic BP, the current recommendation on primary treatment for hypertension is to focus on achieving the SBP goal.
- 3. Blood Pressure and Cardiovascular Risk

According to the 7th Report of JNC, death from both ischemic heart disease and stroke increases pogressively and linearly from BP levels as low as 115mmHg SBP and 75mmHg DBP upward. For every 20mmHg systolic or 10mmHg diastolic increase in BP, the is a two-fold increase in mortality from both ischemic heart disease and stroke. A reduction of 5mmHg in SBP is estimated to result in 14% overall reduction in mortality due to stroke, a 9% reduction in mortality due to CHD, and a 7% decrease in all-cause mortality.

- 4. Frequency Distribution of SBP
 - NHANES III Study: SBP for ages 60 to 74 years, 1988-1991. Median 130mmHg, 90% percentile 160mmHg.
 - For Gaussian distribution, about 2/3 (68%) fall within 1 standard deviation of the mean, and about 95% fall within 2 standard deviations.

5. Current Classification and Recommended Management of Blood Pressure for Adults Aged 18 Years or Older (based on the Seventh Report of the Joint National Committee on Prevention, Detection, Evaluation, and Treatment of High Blood Pressure)

- Stage 1 Hypertension (SBP140-159)
 - Lifestyle modification
 - Without compelling indication: thiazide-type diuretic; consider ACE-I, ARB, BB, CCB, or combination.
 - With compelling indication: Medication(s) for the compelling indications. Other antihypertensive medications as needed.
- Stage 2 Hypertension (SBP >/= 160)
 - Lifestyle modification
 - Without compelling indication: 2-medication combination for most
 - With compelling indication: Medication(s) for the compelling indications. Other antihypertensive medications as needed.

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622 W/ 168th Street, PH	Center 8 fl. Room 840				
New York, New York 100	032				
Phone 212-305-7591 Fax	# 212-305-7072	a & Sleep Study Referral			
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S7 Elite to AutoSet Spirit Upgrade Kit

AutoScan 4.0 software

ResMe	d S7™ Elite CPAP		http://www.resmed.com/rc1026960771014.html
	S7 Elite CPAP	30002	
	HumidAire 2i heated humidifier	30902	
	HumidAire 2iC passover humidifier	30927	

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4	JUL 8 2002	K 013909
ResMed	S7 [™] <i>ELITE</i> CPAP SYSTEM	Traditional 510(k) Premarket Notification
2. Safe	ety and Effectiveness (Summ	ary)
21 Indi	ications for Use	
	The S7™ <i>ELITE</i> CPAP System is f (OSA) in adult patients. The opti- is indicated for the humidification generator device. The S7 <i>ELITE</i> 0 for home and hospital use.	for the treatment of Obstructive Sleep Apnea onal integrated humidifier (HUMIDAIRE® 21™) and warming of air from the S7 Elite flow CPAP flow generator and HUMIDAIRE 21 are
2.2 Brie	ef Device Description	
	The S7 ELITE CPAP SYSTEM is Pressure (CPAP) system, includir	a non-invasive Continuous Positive Airway ng the following system components:
	Flow generator device	
	 Integrated Humidifier (Hum 	MIDAIRE 2i)
	 Mask and air tubing 	
	 Clinical Interface (AutoSca 	an) Software
	The flow generator device inc sensors and processing electron the patient via the air tubing and a	orporates a blower (motor/fan assembly), ics. The blower supplies pressurized air to a mask.
	The S7 ELITE CPAP FLOW GENER fixed-pressure mode). In this more pressure as set by the clinician.	PATOR has one (1) mode of operation (CPAP de the flow generator provides a single fixed-
	AutoScan software allows adjust flow generator-stored treatment d	tment of parameter settings and viewing of ata via a PC.
2.3 Sub	ostantial Equivalence	
	This submission demonstrates So System (including the integrate Sullivan AutoSet CPAP System Sullivan HumidAire Heated Hur CPAP System was cleared for Humidifier.)	ubstantial Equivalence of the S7 <i>ELITE</i> CPAP ed humidifier) with the predicate ResMed n (K980721) ¹ and the predicate ResMed nidifier (K971260). (The Sullivan AutoSet use with the Sullivan HumidAire Heated
¹ The Sulliv	van AutoSet CPAP System was subsequently m	arketed in the USA under the name "AutoSet T".
Novembe	er 16, 2001	Page 7

RESM	ED S7 ^T	ELITE CPAP Sys	TEM	Traditional 510(K) Premarket Notification			
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	Note that the S	Note that the S7 <i>ELITE</i> CPAP flow generator does not operate in AutoSi mode for providing therapy to the patient as described above. The S7 <i>ELITE</i> CPAP System has been tested to the following standards an guidance documents:					
	The S7 <i>ELITE</i> C guidance docum						
	EN 60601-1	Med	ical electrical irements for	l equipment. Part 1: General safety.			
	EN 60601-1	-2 Med requ	ical electrical irements for tromagnetic o	equipment - Part 1: General safety - 2. Collateral standard: compatibility.			
	IEC 529: 19	89 Degi	ees of protec	ction provided by enclosures (Code IP).			
	ISO 8185:19	97 Hum	idifiers for m	edical use - General requirements.			
	PrEN ISO 17	7510 Slee	o Apnoea Th	erapy Devices (1998).			
	Reviewer Gu ARDB, CDR	uidance for Pren H, FDA.	ation Submissions, November 1993,				
	FDA Heated requirement:	FDA Heated Humidifier Review Guide, Shelf # 780, 8/30/91 (applicable requirements)					
	This submission pres descriptions demonstra END – Traditi	ents the result tes Substantia the pro onal 510(k) St	s of bench t I Equivalen edicate devi ummary of	testing, and together with detailed ce of the S7 <i>ELITE</i> CPAP System to ces. <i>Safety and Effectiveness</i>			
Novem	nber 16, 2001			Page 8			